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Application Number		10777312
Filing Date		2004-02-12
First Named Inventor		CHARNLEY JR.
Art Unit		5534
Examiner Name		WONG, Eric Tak Wai
Attorney Docket Number		W12P839US1

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1	MARKOWITZ, "Portfolio Selection", The Journal of Finance, Vol. VII, No. 1, March 1952, pp77-91	<input type="checkbox"/>
2	SHARPE, "Capital Asset Prices: A Theory of Market Equilibrium Under Conditions of Risk", The Journal of Finance, Vo. XIX, No. 3, September 1964	<input type="checkbox"/>
3	JENSEN, "Problems in the Selection of Security Portfolios-The Performance of Mutual Funds in the Period 1945-1964", The Journal of Finance, 1968	<input type="checkbox"/>
4	GRANT, "Market Timing and Portfolio Management" The Journal of Finance, Vol XXXIII, No. 4, September 1978	<input type="checkbox"/>
5	MALKIEL, "Returns from Investing in Equity Mutual funds 1971 to 1991", The Journal of Finance, Vol. 50, No. 2, June 1995	<input type="checkbox"/>
6	SHARPE, "The Performance of the LS100 Funds, 1985-1994, Stanford University website, Los Altos, CA - http://www.stanford.edu/~wfsharpe/art/lts100/5.htm	<input type="checkbox"/>
7	GRINBLATT, Mark and TITMAN, Sheridan, "The Persistence of Mutual Fund Performance", The Journal of Finance, Vol. 67, No. 5, December 1992	<input type="checkbox"/>
8	HENDRICKS, Darryl; PATEL, Jayendu; and ZECKHAUSER, Richard, "Hot Hands in Mutual Funds: Short-Run Persistence of Relative Performance, 1974-1988", The Journal of Finance, Vol. 48, No. 1, March 1993	<input type="checkbox"/>
9	GOETZMANN, William N. and IBBOTSON, Roger G., "Do Winners Repeat?", The Journal of Portfolio Management, Winter 1994	<input type="checkbox"/>
10	BROWN, Stephen J. and GOETZMANN, William N., "Performance Persistence", The Journal of Finance, Vol. 50, No. 2, June 1995	<input type="checkbox"/>
11	WERMERS, "Mutual Fund Performance: An Empirical Decomposition into Stock-Picking Talent, Style, Transactions Costs, and Expenses", The Journal of Finance, Vol. 55, No. 4, August 2000	<input type="checkbox"/>

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	12	CARHART, "On Persistence in Mutual Fund Performance", The Journal of Finance, Vol. 52, No. 1, March 1997	<input type="checkbox"/>
	13	TANOUS, "Investment Gurus", NY Institute of Finance, Englewood Cliffs, NJ, pp89-99	<input type="checkbox"/>

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